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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant(s): Johnson et al.

Joinison et ai.

Serial No.: 09/736,135

Filed:

12/15/2000

For: Color Imaging System and

Methods

Group Art No.:

Examiner:

W. Dowling

2851

Atty. Docket No.:

95121961.114002

Commissioner for Patents Box RCE

Washington, D.C. 20231

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### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant(s) hereby notify the U.S. Patent and Trademark Office of the document(s) which is/are listed on the attached Form PTO-SB/08A.

References listed on the SB/08A (Exhibit A, pages 1-4) were previously submitted in U.S. Patent Application S/N 09/190,273, now U.S. Patent No. 6,252,638.

Reference listed on the SB/08A (Exhibit B, page 1) was previously submitted in U.S. Patent Application S/N 09/126,330, now U.S. Patent No. 5,416,515.

References listed on the SB/08A (Exhibit C, pages 1-4) were previously submitted in U.S. Patent Application S/N 08/853,468, now U.S. Patent No. 5,990,996.

References listed on the SB/08A (Exhibit D, pages 1-3) were previously submitted in U.S. Patent Application S/N 08/645,580, now U.S. Patent No. 5,822,021.

References listed on the SB/08A (Exhibit E, pages 1-3) were previously submitted in U.S. Patent Application S/N 08/447,522, now U.S. Patent No. 5,751,384.

References listed on the SB/08A (Exhibit F, page 1-2) were previously submitted in U.S. Patent Application S/N 09/311,587, now U.S. Patent No. 6,183,091. References listed on the SB/08A (Exhibit G, pages 1-4) were previously submitted in U.S. Patent Application S/N 09/736,135, now published US-2001-000097-A1. References listed on the SB/08A (Exhibit H, page 1-2) were previously submitted in U.S. Patent Application S/N 08/901,837, now U.S. Patent No. 6,046,786. X (1) This IDS does NOT require a fee or 37 C.F.R. § 1.97(e) statement because it is being filed (check all that apply): \_\_\_ (a) Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); OR \_\_\_\_ (b) Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; OR \_\_\_\_ (c) Before the mailing of a first Office action on the merits; OR X (d) Before the mailing of a first Office action after the filing of a request for continued examination under § 1.114. \_\_\_\_(2) This information disclosure statement is filed before the mailing date of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and (check only one):

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and to the knowledge of the person signing the certification after making reasonable inquiry,
no item of information contained in the information disclosure statement was known to any
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The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant(s) does/do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. Please charge any necessary fee or credit any overpayment in connection

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Respectfully submitted,

Brian C. McCormack

Reg. No. 36,601

April 2, 2003 BAKER & McKENZIE 2001 Ross Avenue, Suite 2300 Dallas, TX 75201 (214) 978-3007 (telephone) (214) 978-3099 (facsimile)



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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of

Complet if Known				
Application Number	09/736,135			
Filing Date	12/15/2000			
First Named Inventor	Johnson			
Art Unit	2851			
Examiner Name	W. Dowling			
Attorney Docket Number	95121961.114002			

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵
	_	<del>JP 0121379</del>	04-28-2000	Keizo et al.		
	-	JP 4022926	01-27-1992	Akishi et al.		
		WO90/09614	08-23-1990	Dahl et al.		
	1	WO95/26119	09-28-1995	Zavracky et al.		

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STATEMENT BY APPLICANT		First Named Inventor	Johnson	
	(Use as many sheets as necessary)	Art Unit	2851	
(eee as many sheets as necessary)		Examiner Name	Dowling	
Sheet	of	Attorney Docket Number	95121961.114002	

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	Т
nitials*	No. <sup>1</sup>	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	5	Scheffer, T.J., "New multicolor liquid crystal displays that use a twisted nematic electro-optical cell," J., Appl. Phys. (1973) 44(11):4799-4803.	
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		Amman, E.O., "Optical Network Synthesis Using Birefringent Crystals, III., Some General Properties of Lossless Birefringent Networks," J. Opt. Soc. America (1966) 56(7):943-951.	

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STATEMENT BY APPLICANT		First Named Inventor	Johnson
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Sheet	f	Attorney Docket Number	95121961.114002

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		Amman, E.O. and Yarborough, J.M., "Optical Network Synthesis Using Birefringent Crystals V. Synthesis of Lossless Networks Containing Equal-Length Crystals and Compensators," J. Opt. Soc. America (1966) 56(12):1746-1754.	
		Sharp, G.D., et.al., "P-60: Color Switching Using Ferroelectric Liquid Crystals," Society for Information Display, International Symposium, Digest of Technical Papers, vol. XXIV, Seattle, Washington, May 18-20, 1993.	
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Signature	Considered	

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		"Achromatic retardation plates", Alan M. Title et al., SIPE vol. 307 Polarizers and Applications (1981), pp. 120-125.	
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<b>INFORMATION DISCLOSURE</b>	Filing Date	12/15/2000	
STATEMENT BY APPLICANT	First Named Inventor	Johnson	
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		Scheffer, T.J., "New Multicolor Liquid Crystal Displays That Use a Twisted Nematic Electro-Optical Cell," J. Appl. Phys. (1973) 44 (11) :4799-4803.	
		Carlsen, W. J. and Buhrer, C.F., "Flat Passband Birefringent Wavelength-Division Multiplexers," Electronics Letters (1987) 23 (3) :106-107.	
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2851 (Use as many sheets as necessary) **Examiner Name** Dowling Attorney Docket Number Sheet 95121961.114002

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#### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Compl te if Known				
Application Number	09/736,135			
Filing Date	12/15/2000			
First Named Inventor	Johnson			
Art Unit	2851			
Examiner Name	W. Dowling			
Attorney Docket Number	95121961.114002			

Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Т		
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Sheet	of		Attorney Docket Number	95121961.114002		

		OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS	
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		Carlson W.J. and Buhrer, C.F., "Flat Passband Birefringent Wavelength-Division Multiplexers," Electronics Letters (1987) 23(3):106-107 No Month.	
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		Title, A.M. and Rosenberg, W.J., "Tunable birefringent filters," Opt. Eng. (1981) 20(6): 815-823. No Month.	
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-		Wu, Shin-Tson, "Birefringence dispersions of liquid crystals," Physical Review A, (1986) 33(2):1270-1274 No Month.	

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				Art Unit	2851		
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		Harris, S.E. et al., "Optical Network Synthesis Using Birefringent Crystals. I. Synthesis of Lossless Networks of Equal-Length Crystals," J. Opt. Soc. America (1964) 54(10):1267-1279 No Month.	
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Signature	Considered

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First Named Inventor	Johnson				
Art Unit	2851				
Examiner Name	W. Dowling				
Attorney Docket Number	95121961 114002				

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		DE 4029838	03-28-1991	Hirakata et al.		
		JP 56-137307A	10-27-1981	Teruhito		
		JP 0121379	04-28-2000	Keizo et al.		
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		JP 03028823A	02-07-1991	Yasutaka et al.		
		JP 4022920	01-27-1992	Akishi et al.		

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 Application Number
 09/736,135

 Filing Date
 12/15/2000

 First Named Inventor
 Johnson

 Art Unit
 2851

 Examiner Name
 W. Dowling

 Attorney Docket Number
 95121961.114002

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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**U. S. PATENT DOCUMENTS** Examiner **Document Number** Publication Date Name of Patentee or Pages, Columns, Lines, Where Initials\* No.1 MM-DD-YYYY Applicant of Cited Document Relevant Passages or Relevant Number-Kind Code<sup>2 (d known)</sup> Figures Appear ŪS-US-บร-ŪS-ับร-US-US-US-US-IIS-US-US-US-US-US-US-US-ับร-US-

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#### **Application Number** 09/736,135 Filing Date INFORMATION DISCLOSURE 12/15/2000 STATEMENT BY APPLICANT **First Named Inventor** Johnson **Art Unit** 2851 (Use as many sheets as necessary) **Examiner Name** Dowling **Attorney Docket Number** 95121961.114002 Sheet of

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		Title, A.M., "Improvement of Birefringent Filters. 2: Achromatic Waveplates," Applied Optics (Jan. 1975) 14(1):229-237.	
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TRACE	9 for form 1449/P1O		Application Number	09/736,135	
ÍNFO	DRMATION DIS	CLOSURE	Filing Date	12/15/2000	
STATEMENT BY APPLICANT		First Named Inventor	Johnson		
			Art Unit	2851	
	(Use as many sheets as r	iecessary)	Examiner Name	Dowling	
Sheet	of		Attorney Docket Number	95121961.114002	

No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue	T <sup>2</sup>
	number(s), publisher, city and/or country where published.  Sharp, Gary Dean. "Chiral smectic liquid crystal tunable optical fibers and modulators", 1992.	
	Scheffer, T.J., "New multicolor liquid crystal displays that use a twisted nematic electro-optical cell, "J., Appl. Phys. (1973) 44(11):4799-4803.	
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	Harris, S.E., et al., "Optical Network Synthesis Using Birefringent Crystals, I. Synthesis of Lossless Networks of Equal-Length Crystal, "J. Opt. Soc. America (1964) 54(10):1267-1279.	
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Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO Applicati n Number 09/736,135 **Filing Date** INFORMATION DISCLOSURE 12/15/2000 STATEMENT BY APPLICANT **First Named Inventor** Johnson Art Unit 2851 (Use as many sheets as necessary) **Examiner Name** Dowling **Attorney Docket Number** 95121961.114002 Sheet of

		OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Amman, E.O., "Optical Network Synthesis Using Birefringent Crystals, III., Some General Properties of Lossless Birefringent Networks, "J. Opt. Soc America (1966) 56(7):943-951.	
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<u> </u>		Billings, BH., "A Tunable Narrow-Band Optical Filter, "J., Opt. Soc. America (1947) 37:738-746.	
		Buhrer, Carl F., "Synthesis and tuning of high-order birefringent filters," Applied Optics (Apr. 20, 1994) 33(12): 2249-2254.	
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		F.H. Yu and H.S. Kwok; "A New Driving Scheme for Reflective Bistable Cholesteric LCDs;" 1997 Society for Information Display Information Symposium Digest of Technical Papers; p. 659, May, 1997.	

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		Y. Nakai, "A Reflective Tri-Layer Guest-Host Color TFT-LCD:" 1997 Society for Information Display International Symposium Digest of Technical Papers; p. 83, May, 1997.	
		Bernard M. Schiffman, et al., "Birefringent Filter for Millimeter Waves," IEEE Transactions on Microwave Theory and Techniques, vol. MIT-16, No. 6, Jun. 1968.	
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